

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Kenneth Joseph Schulz

Serial No.: 09/924,299

Filed: August 8, 2001

Group Art Unit: 2154

Confirmation No.: 3067

Examiner: Martin, Nicholas A.

Docket No.: 10015893-1

For: SYSTEM AND METHOD FOR CACHING ENTITLEMENT SETS

I hereby certify that this correspondence is being deposited with the United States Postal Service, as first class mail in an envelope addressed to: Mail Stop, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on March 30, 2005.

Marianne Boland
Signature -

**DECLARATION OF JOSEPH GERARD MOFFAT
PURSUANT TO 37 C.F.R. §1.131**

Commissioner of Patents
Alexandria, VA 22313-1450

Sir,

I, Joseph Gerard Moffat, hereby declare that:

1) The invention embodied in the above-identified patent application is directed to systems and methods for caching entitlement sets.

2) I am advised that the United States Patent and Trademark Office has rejected one or more claims presently pending in the above-identified patent application based, at least in part, upon United States Patent Publication Nos. 2002/0169769 to Jackson (herein, Jackson) and

BEST AVAILABLE COPY

HP Docket No.: 10015893-1

2002/0016792 to *Ito et al.* (herein, *Ito*). I am further advised that the effective filing dates of the *Jackson* and *Ito* publications are May 12, 2001 and December 20, 2000, respectively.

3) The invention, however, as embodied in the claims of the present invention was completed by me and my co-inventors in this country prior to May 12, 2001 and December 20, 2000. Specifically, the invention was "completed" by virtue of reduction to practice prior to the May 12, 2001 and December 20, 2000 filing dates of the of the *Jackson* and *Ito* publications, respectively.

4) As evidence that the present invention was so characterized by reduction to practice, Exhibits "A-D" are attached hereto.

5) Exhibit "A" is a copy of pages 1-9 of a low level design document entitled, "RapidLogin(Persistent Storage)," which includes details of how to implement an embodiment of the claimed invention corresponding to the Rapid Login feature as part of a software package (ITRC 2.0 Release). This low level design document occurred prior to the earliest critical date of December 20, 2000.

6) Exhibit "B" is a copy of an internal memo that summarizes test results of the software release (ITRC 2.0 Release) that includes an embodiment of the claimed invention corresponding to the Rapid Login feature, and that presents approval by the Program Office and Core release team members to deploy the software. Dates have been redacted in the document. The internal memo provides evidence that an embodiment of the claimed invention was tested and

HP Docket No.: 10015893-1

achieved satisfactory performance prior to the earliest critical date of December 20, 2000.

7) Exhibit "C" is a copy of pages 1-2 of a Test Plan for the software release (ITRC 2.0 Release) that includes an explanation of an embodiment of the claimed invention corresponding to the Rapid Login feature. Dates have been redacted in the document. This Test Plan document occurred prior to the earliest critical date of December 20, 2000.

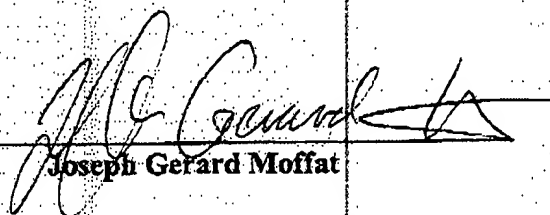
8) Exhibit "D" is a copy of a document entitled, "Rapid Login - Performance Improvement for ITRC Login - Persistent Storage of Permissions," which is a project document that includes details of an embodiment of the claimed invention corresponding to the Rapid Login feature. Dates have been redacted in the document. This Test Plan document occurred prior to the earliest critical date of December 20, 2000.

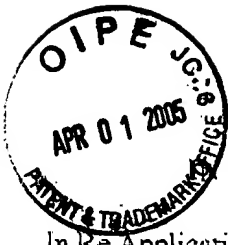
HP Docket No.: 10015893-1

I hereby declare that all statements made herein are of my own knowledge are true and that all statements are made on information and belief and are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

3/29/2005

Date


Joseph Gerard Moffat



19:31 05.03/28 RX TIME

LOCATION: 07111007

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Kenneth Joseph Schulz

Serial No.: 09/924,299

Filed: August 8, 2001

For: **SYSTEM AND METHOD FOR CACHING ENTITLEMENT SETS**

) Group Art Unit: 2154

) Confirmation No.: 3067

) Examiner: Martin, Nicholas A.

) Docket No.: 10015893-1

I hereby certify that this correspondence is being deposited with the United States Postal Service, as first class mail in an envelope addressed to: Mail Stop, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on March 30, 2005.

Marianne Boland
Signature -

**DECLARATION OF ROBERT MASLIN HULL
PURSUANT TO 37 C.F.R. §1.131**

Commissioner of Patents
Alexandria, VA 22313-1450

Sir,

I, **Robert Maslin Hull**, hereby declare that:

1) The invention embodied in the above-identified patent application is directed to systems and methods for caching entitlement sets.

2) I am advised that the United States Patent and Trademark Office has rejected one or more claims presently pending in the above-identified patent application based, at least in part, upon United States Patent Publication Nos. 2002/0169769 to *Jackson* (herein, *Jackson*) and

2002/0016792 to *Ito et al.* (herein, *Ito*). I am further advised that the effective filing dates of the *Jackson* and *Ito* publications are May 12, 2001 and December 20, 2000, respectively.

3) The invention, however, as embodied in the claims of the present invention was completed by me and my co-inventors in this country prior to May 12, 2001 and December 20, 2000. Specifically, the invention was "completed" by virtue of reduction to practice prior to the May 12, 2001 and December 20, 2000 filing dates of the of the *Jackson* and *Ito* publications, respectively.

4) As evidence that the present invention was so characterized by reduction to practice, Exhibits "A-D" are attached hereto.

5) Exhibit "A" is a copy of pages 1-9 of a low level design document entitled, "RapidLogin(Persistent Storage)," which includes details of how to implement an embodiment of the claimed invention corresponding to the Rapid Login feature as part of a software package (ITRC 2.0 Release). This low level design document occurred prior to the earliest critical date of December 20, 2000.

6) Exhibit "B" is a copy of an internal memo that summarizes test results of the software release (ITRC 2.0 Release) that includes an embodiment of the claimed invention corresponding to the Rapid Login feature, and that presents approval by the Program Office and Core release team members to deploy the software. Dates have been redacted in the document. The internal memo provides evidence that an embodiment of the claimed invention was tested and

achieved satisfactory performance prior to the earliest critical date of December 20, 2000.

7) Exhibit "C" is a copy of pages 1-2 of a Test Plan for the software release (ITRC 2.0 Release) that includes an explanation of an embodiment of the claimed invention corresponding to the Rapid Login feature. Dates have been redacted in the document. This Test Plan document occurred prior to the earliest critical date of December 20, 2000.

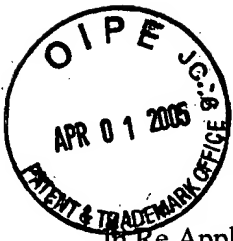
8) Exhibit "D" is a copy of a document entitled, "Rapid Login - Performance Improvement for ITRC Login - Persistent Storage of Permissions," which is a project document that includes details of an embodiment of the claimed invention corresponding to the Rapid Login feature. Dates have been redacted in the document. This document occurred prior to the earliest critical date of December 20, 2000.

HP Docket No.: 10015893-1

I hereby declare that all statements made herein are of my own knowledge are true and that all statements are made on information and belief and are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

3/28/2005
Date


Robert Maslin Hull



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Kenneth Joseph Schulz

Serial No.: 09/924,299

Filed: August 8, 2001

For: **SYSTEM AND METHOD FOR CACHING ENTITLEMENT SETS**

) Group Art Unit: 2154
)

) Confirmation No.: 3067
)

) Examiner: Martin, Nicholas A.
)

) Docket No.: 10015893-1
)

I hereby certify that this correspondence is being deposited with the United States Postal Service, as first class mail in an envelope addressed to: Mail Stop, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on March 30, 2005.

Marianne Boland
Signature -

**DECLARATION OF GAUTAM SHAH
PURSUANT TO 37 C.F.R. §1.131**

Commissioner of Patents
Alexandria, VA 22313-1450

Sir,

I, **Gautam Shah**, hereby declare that:

1) The invention embodied in the above-identified patent application is directed to systems and methods for caching entitlement sets.

2) I am advised that the United States Patent and Trademark Office has rejected one or more claims presently pending in the above-identified patent application based, at least in part, upon United States Patent Publication Nos. 2002/0169769 to *Jackson* (herein, *Jackson*) and

HP Docket No.: 10015893-1

2002/0016792 to *Ito et al.* (herein, *Ito*). I am further advised that the effective filing dates of the *Jackson* and *Ito* publications are May 12, 2001 and December 20, 2000, respectively.

3) The invention, however, as embodied in the claims of the present invention was completed by me and my co-inventors in this country prior to May 12, 2001 and December 20, 2000. Specifically, the invention was "completed" by virtue of reduction to practice prior to the May 12, 2001 and December 20, 2000 filing dates of the of the *Jackson* and *Ito* publications, respectively.

4) As evidence that the present invention was so characterized by reduction to practice, Exhibits "A-D" are attached hereto.

5) Exhibit "A" is a copy of pages 1-9 of a low level design document entitled, "RapidLogin(Persistent Storage)," which includes details of how to implement an embodiment of the claimed invention corresponding to the Rapid Login feature as part of a software package (ITRC 2.0 Release). This low level design document occurred prior to the earliest critical date of December 20, 2000.

6) Exhibit "B" is a copy of an internal memo that summarizes test results of the software release (ITRC 2.0 Release) that includes an embodiment of the claimed invention corresponding to the Rapid Login feature, and that presents approval by the Program Office and Core release team members to deploy the software. Dates have been redacted in the document. The internal memo provides evidence that an embodiment of the claimed invention was tested and

HP Docket No.: 10015893-1

achieved satisfactory performance prior to the earliest critical date of December 20, 2000.

7) Exhibit "C" is a copy of pages 1-2 of a Test Plan for the software release (ITRC 2.0 Release) that includes an explanation of an embodiment of the claimed invention corresponding to the Rapid Login feature. Dates have been redacted in the document. This Test Plan document occurred prior to the earliest critical date of December 20, 2000.

8) Exhibit "D" is a copy of a document entitled, "Rapid Login - Performance Improvement for ITRC Login - Persistent Storage of Permissions," which is a project document that includes details of an embodiment of the claimed invention corresponding to the Rapid Login feature. Dates have been redacted in the document. This document occurred prior to the earliest critical date of December 20, 2000.

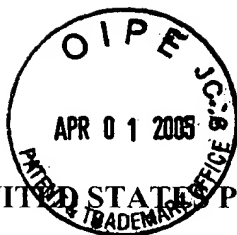
HP Docket No.: 10015893-1

I hereby declare that all statements made herein are of my own knowledge are true and that all statements are made on information and belief and are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

24th March 2005
Date



Gautam Shah



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:)	Group Art Unit: 2154
)	
Kenneth Joseph Schulz)	Confirmation No.: 3067
)	
Serial No.: 09/924,299)	Examiner: Martin, Nicholas A.
)	
Filed: August 8, 2001)	Docket No.: 10015893-1
)	
For: SYSTEM AND METHOD FOR CACHING ENTITLEMENT SETS		

I hereby certify that this correspondence is being deposited with the United States Postal Service, as first class mail in an envelope addressed to: Mail Stop, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on March 30, 2005.

Marianne Boland
Signature -

**DECLARATION OF KENNETH JOSEPH SCHULZ
PURSUANT TO 37 C.F.R. §1.131**

Commissioner of Patents
Alexandria, VA 22313-1450

Sir,

I, **Kenneth Joseph Schulz**, hereby declare that:

1) The invention embodied in the above-identified patent application is directed to systems and methods for caching entitlement sets.

2) I am advised that the United States Patent and Trademark Office has rejected one or more claims presently pending in the above-identified patent application based, at least in part, upon United States Patent Publication Nos. 2002/0169769 to *Jackson* (herein, *Jackson*) and

HP Docket No.: 10015893-1

2002/0016792 to *Ito et al.* (herein, *Ito*). I am further advised that the effective filing dates of the *Jackson* and *Ito* publications are May 12, 2001 and December 20, 2000, respectively.

3) The invention, however, as embodied in the claims of the present invention was completed by me and my co-inventors in this country prior to May 12, 2001 and December 20, 2000. Specifically, the invention was "completed" by virtue of reduction to practice prior to the May 12, 2001 and December 20, 2000 filing dates of the of the *Jackson* and *Ito* publications, respectively.

4) As evidence that the present invention was so characterized by reduction to practice, Exhibits "A-D" are attached hereto.

5) Exhibit "A" is a copy of pages 1-9 of a low level design document entitled, "RapidLogin(Persistent Storage)," which includes details of how to implement an embodiment of the claimed invention corresponding to the Rapid Login feature as part of a software package (ITRC 2.0 Release). This low level design document occurred prior to the earliest critical date of December 20, 2000.

6) Exhibit "B" is a copy of an internal memo that summarizes test results of the software release (ITRC 2.0 Release) that includes an embodiment of the claimed invention corresponding to the Rapid Login feature, and that presents approval by the Program Office and Core release team members to deploy the software. Dates have been redacted in the document. The internal memo provides evidence that an embodiment of the claimed invention was tested and

IIP Docket No.: 10015893-1

achieved satisfactory performance prior to the earliest critical date of December 20, 2000.


7) Exhibit "C" is a copy of pages 1-2 of a Test Plan for the software release (ITRC 2.0 Release) that includes an explanation of an embodiment of the claimed invention corresponding to the Rapid Login feature. Dates have been redacted in the document. This Test Plan document occurred prior to the earliest critical date of December 20, 2000.

8) Exhibit "D" is a copy of a document entitled, "Rapid Login - Performance Improvement for ITRC Login – Persistent Storage of Permissions," which is a project document that includes details of an embodiment of the claimed invention corresponding to the Rapid Login feature. Dates have been redacted in the document. This document occurred prior to the earliest critical date of December 20, 2000.

HP Docket No.: 10015893-1

I hereby declare that all statements made herein are of my own knowledge are true and that all statements are made on information and belief and are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

3/25/2005
Date


Kenneth Joseph Schulz



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Schulz, et al.

Serial No.: 09/924,299

Filed: August 8, 2001

) Group Art Unit: 2154

) Confirmation No.: 3067

) Examiner: Martin, Nicholas A.

) Docket No.: 10015893-1

For: **SYSTEM AND METHOD FOR CACHING ENTITLEMENT SETS**

I hereby certify that this correspondence is being deposited with the United States Postal Service, as first class mail in an envelope addressed to: Mail Stop, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on March 30, 2005.

Marianne Bland
Signature -

**DECLARATION OF AHMED KHATTAB
PURSUANT TO 37 C.F.R. §1.131**

Commissioner of Patents
Alexandria, VA 22313-1450

Sir,

I, **Ahmed Khattab**, hereby declare that:

1) The invention embodied in the above-identified patent application is directed to systems and methods for caching entitlement sets.

2) I am advised that the United States Patent and Trademark Office has rejected one or more claims presently pending in the above-identified patent application based, at least in part, upon United States Patent Publication Nos. 2002/0169769 to *Jackson* (herein, *Jackson*) and

HP Docket No.: 10015893-1

2002/0016792 to *Ito et al.* (herein, *Ito*). I am further advised that the effective filing dates of the *Jackson* and *Ito* publications are May 12, 2001 and December 20, 2000, respectively.

3) The invention, however, as embodied in the claims of the present invention was completed by me and my co-inventors in this country prior to May 12, 2001 and December 20, 2000. Specifically, the invention was "completed" by virtue of reduction to practice prior to the May 12, 2001 and December 20, 2000 filing dates of the of the *Jackson* and *Ito* publications, respectively.

4) As evidence that the present invention was so characterized by reduction to practice, Exhibits "A-D" are attached hereto.

5) Exhibit "A" is a copy of pages 1-9 of a low level design document entitled, "RapidLogin(Persistent Storage)," which includes details of how to implement an embodiment of the claimed invention corresponding to the Rapid Login feature as part of a software package (ITRC 2.0 Release). This low level design document occurred prior to the earliest critical date of December 20, 2000.

6) Exhibit "B" is a copy of an internal memo that summarizes test results of the software release (ITRC 2.0 Release) that includes an embodiment of the claimed invention corresponding to the Rapid Login feature, and that presents approval by the Program Office and Core release team members to deploy the software. Dates have been redacted in the document. The internal memo provides evidence that an embodiment of the claimed invention was tested and

HP Docket No.: 10015893-1

achieved satisfactory performance prior to the earliest critical date of December 20, 2000.

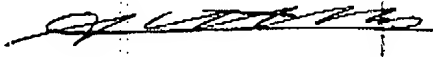
7) Exhibit "C" is a copy of pages 1-2 of a Test Plan for the software release (ITRC 2.0 Release) that includes an explanation of an embodiment of the claimed invention corresponding to the Rapid Login feature. Dates have been redacted in the document. This Test Plan document occurred prior to the earliest critical date of December 20, 2000.

8) Exhibit "D" is a copy of a document entitled, "Rapid Login - Performance Improvement for ITRC Login - Persistent Storage of Permissions," which is a project document that includes details of an embodiment of the claimed invention corresponding to the Rapid Login feature. Dates have been redacted in the document. This document occurred prior to the earliest critical date of December 20, 2000.

HP Docket No.: 10015893-1

I hereby declare that all statements made herein are of my own knowledge are true and that all statements are made on information and belief and are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

3/24/05
Date


Ahmed Khattab

HP Docket No.: 10015893-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:)
) Group Art Unit: 2154
)
 Kenneth Joseph Schultz)
) Confirmation No.: 3067
)
 Serial No.: 09/924,299)
) Examiner: Martin, Nicholas A.
)
 Filed: August 8, 2001)
) Docket No.: 10015893-1
)
 For: SYSTEM AND METHOD FOR CACHING ENTITLEMENT SETS

I hereby certify that this correspondence is being deposited with the United States Postal Service, as first class mail in an envelope addressed to: Mail Stop, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22311-1450, on March 23, 2005.

Signature -

Marianne PolandDECLARATION OF DANIEL SCOTT JORGENSEN
PURSUANT TO 37 C.F.R. §1J31

Commissioner of Patents
 Alexandria, VA 22313-1450

Sir,

I, Daniel Scott Jorgenson, hereby declare that:

1) The invention embodied in the above-identified patent application is directed to systems and methods for caching entitlement sets.

2) I am advised that the United States Patent and Trademark Office has rejected one or more claims presently pending in the above-identified patent application based, at least in part, upon United States Patent Publication Nos. 2002/0169769 to Jackson (herein, *Jackson*) and

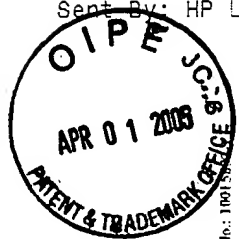
2002/0016792 to *Ho et al.* (herein, *Ho*). I am further advised that the effective filing dates of the *Jackson* and *Ho* publications are May 12, 2001 and December 20, 2000, respectively.

3) The invention, however, as embodied in the claims of the present invention was completed by me and my co-inventors in this country prior to May 12, 2001 and December 20, 2000. Specifically, the invention was "completed" by virtue of reduction to practice to the May 12, 2001 and December 20, 2000 filing dates of the of the *Jackson* and *Ho* publications, respectively.

4) As evidence that the present invention was so characterized by reduction to practice, Exhibits "A-D" are attached hereto.

5) Exhibit "A" is a copy of pages 1-9 of a low level design document entitled, "RapidLogin(Persistent Storage)," which includes details of how to implement an embodiment of the claimed invention corresponding to the Rapid Login feature as part of a software package (TRC 2.0 Release). This low level design document occurred prior to the earliest critical date of December 20, 2000.

6) Exhibit "B" is a copy of an internal memo that summarizes test results of the software release (TRC 2.0 Release), that includes an embodiment of the claimed invention corresponding to the Rapid Login feature, and that presents approval by the Program Office and Core release team members to deploy the software. Dates have been redacted in the document. The internal memo provides evidence that an embodiment of the claimed invention was tested and



HP Docket No.: 10015893-1

HP Docket No.: 10015893-1

achieved satisfactory performance prior to the earliest critical date of December 20, 2000.

7) Exhibit "C" is a copy of pages 1-2 of a Test Plan for the software release (ITRC 2.0 Release) that includes an explanation of the claimed invention corresponding to the Rapid Login feature. Dates have been redacted in the document. This Test Plan document occurred prior to the earliest critical date of December 20, 2000.

8) Exhibit "D" is a copy of a document entitled, "Rapid Login - Performance Improvement for ITRC Login - Persistent Storage of Permissions," which is a project document that includes details of an embodiment of the claimed invention corresponding to the Rapid Login feature. Dates have been redacted in the document. This document occurred prior to the earliest critical date of December 20, 2000.

I hereby declare that all statements made herein are of my own knowledge are true and that all statements are made on information and belief and are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

3/24/2005
Date

Daniel Scott Jergensen
Daniel Scott Jergensen

EXHIBIT A

1 Introduction

This document describes low level design for RapidLogin (persistent storage) project, released in ITRC E.2.0.

2 Scope

The scope of this document is limited only to the following:

- Interfaces between service layer and 'cis' process related with RapidLogin (persistent storage) E.2.0 release (i.e. CI stack).

(Note: 'cis' is CIS FCD process and 'cixsmainp' is CIDB server process)

- Interface between 'cis' and 'cixsmainp' process related with RapidLogin (persistent storage) E.2.0 release.

- The data flow and algorithms are explained for 'cis' and 'cixsmainp' processes.

- Different events, invoking these scenarios are not addressed here.

- mapping of functions (i.e. TOBJ and TVAL) across FCC/FCD and FCD/CIDB is out of the scope of this document.

3 References

- Rapid Login (persistent storage) High Level Design document (explains concept and philosophy behind RapidLogin feature)
- TOBJ tutorial (explains TOBJ (text object) protocol and structures used by it)

4 Interface

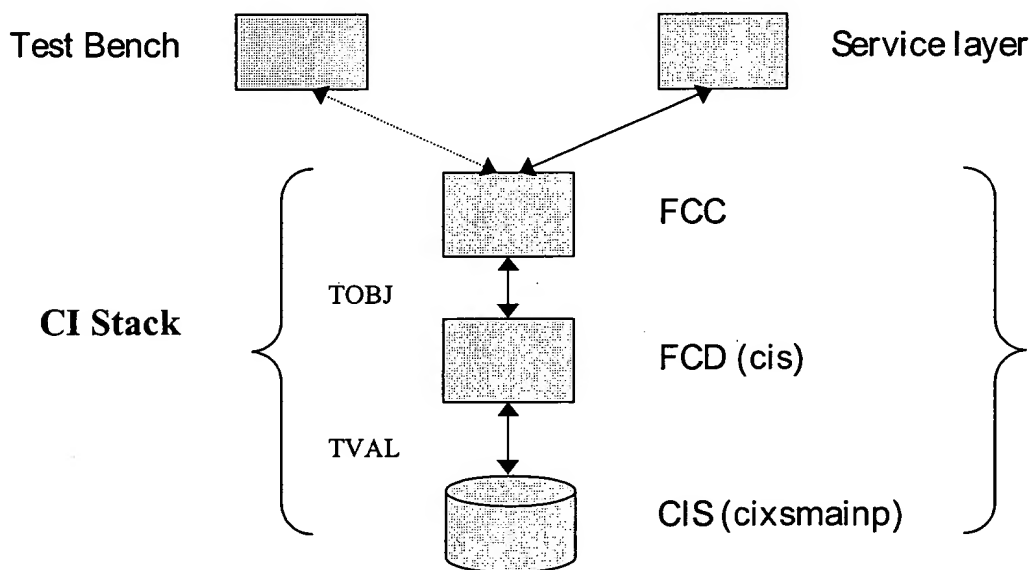


Figure 1 - Control Flow

As shown in Figure 1 - Control Flow whenever a request comes from service layer, it is passed from following layers in the same order, FCC, FCD and CIS.

Each of these 3 layers is explained in separate sections with their interface with their respective layers.

There are 2 main interfaces between service layer and rest of the CI stack, namely a) PutPermissions and b) GetPermissions, regards to RapidLogin project. The actions taken at each of these 3 layers for both the interfaces are also explained.

5 Data Structures

Note: The size of userid, entityid and modify_datetime fields are as per existing fields in other CIDB tables.

Pl check <http://nandi.india.hp.com:8000/projects/CIDBHA/WWCIDB/cidb.html> - CUSTOMER for more information.

5.1 System Permissions structure (TOBJ format) (ref Permission document)

```
<systems-perms-info> ::= VECTOR { < system-perms-info > }
  <system-perms-info> ::= TABLE {
    STRING          "system_handle" (system handle or warranty product/serial
                        or e-order ID/item)
    <perms>          "system_perms"
  }

  <perms>           ::= VECTOR { STRING }
```

5.2 EX_PERMISSIONS_2829

```
struct EX_PERMISSIONS_2829 {

    struct EX_CUSTOMER_PERMISSION_2829 {
        char  entityid[51];
        short sequence;
        char  permission[1001];
        char  modify_datetime[21];
    } ex_customer_permission_2829;

    struct EX_PERMISSIONS_2829 *next;

};
```

5.3 IM_PERMISSIONS_2831

```
struct IM_PERMISSIONS_2831 {

    struct IM_CUSTOMER_PERMISSION_2831 {
        char  userid[21];
        char  entityid[51];
        short sequence;
        char  permission[1001];
        char  modify_datetime[21];
    } im_customer_permission_2831;

    struct IM_PERMISSIONS_2831 *next;

};
```


Note: The above structures, namely EX_PERMISSIONS_2829 and IM_PERMISSIONS_2831 are generated by OSCAR, an automated tool to create CIBD interface routines.

5.4 CUSTOMER_PERMISSIONS table (ref http://nandi.india.hp.com:8000/projects/CIDBHA/WWCIDB/cidb.html - CUSTOMER_PERMISSIONS)

6 FCC

The FCC layer is the only layer, from CI stack, seen by service layer. It's the first layer in CI stack.

The requests received by FCC can be one of the followings:

6.1 PutPermissions

Function

This routine acts as an interface between service layer and CI stack. Whenever, service layer issues request for PutPermissions, service layer calls this function from FCC.

Input Parameters

Parameter	DataType	Remarks/Use
<i>userid</i>	char[20]	http://nandi.india.hp.com:8000/projects/CIDBHA/WWCIDB/cidb.html - CUSTOMER
<i>modify_datetime</i>	TOBJ string	YYYYMMDDHHMMSS000000 format (20 characters)
<i>vSystemsPermsInfo</i>	TOBJ vector	in the form of SystemsPermsInfo (refer 5.1)

Output Parameters

Parameter	DataType	Remarks
<i>reply</i>	Perl hashed-array	It contains <i>modify_datetime</i> in YYYYMMDDHHMMSS000000 format (20 characters), received from FCD layer (cis process).

Logic (refer code 13.1.1)

Note:

- *userid* must be a scalar string
- *modify_datetime* must be a TOBJ string
- *vSystemsPermsInfo* must be a TOBJ vector.

This routine reads 3 input parameters as *userid*, *modify_datetime* and *vSystemsPermsInfo* variables.

Then it creates a new TOBJ table (*tobj*), with a size of *tobj* variable and 3 additional entries for *userid*, *modify_datetime* and routing to 'cis' FCD process (used internally by TOBJ library).

After this, it adds *userid* variable as a TOBJ string (by converting scalar string using *Smake* routine) as 'userid' value in *tobj* table. It adds *modify_datetime* as it is (as its already in TOBJ string format) as 'modify_datetime' value in *tobj* table.

At last it adds, *vSystemsPermsInfo* table of permissions as 'systems-perms-info' value in *tobj* table. This table (i.e. *tobj*) is then passed to TOBJ library with appropriate opcode (i.e., *PutPermissions_opcode*, see /opt/support/fcc/cis/Xaction.pl) value.

The *reply* returned from TOBJ library, is then returned to the service layer as it is.

6.2 GetPermissions

Function

It acts as an interface between service layer and CI stack. Whenever, service layer issues request for GetPermissions, service layer calls this function from FCC.

Input Parameters

Parameter	DataType	Remarks
<i>userid</i>	char[20]	

Output Parameters

Value	DataType	Remarks
<i>reply</i>	Perl hashed-array	It contains <i>modify_datetime</i> in YYYYMMDDHHMMSS000000 format (20 characters), and in <i>SystemsPermsInfo</i> format (ref 5.1), received from FCD layer (cis process).

Logic (refer code 13.1.2)

The *userid* must be a scalar string.

The input parameter *userid* is taken in the args hashed-array as 'userid' value. It is then passed to TOBJ library with appropriate opcode (i.e., *GetPermissions_opcode* see /opt/support/fcc/cis/Xaction.pl) value.

The *reply* returned from TOBJ library, is then returned to the service layer as it is.

7 FCD

7.1 fcdPutPermissions

Function

This function gives a wrapper API for low-level database routine to update CUSTOMER_PERMISSION table.

When FCC layer sends a request to TOBJ library for PutPermissions function, this routine is called from FCD layer, i.e. 'cis' process (libxaction.sl.s700.10).

Input Parameters

Parameter	DataType	Remarks
<i>req_hdr</i>	TOBJ	
<i>nreq_args</i>	int	
<i>req_args</i>	TOBJ table	This array contains data needed to update record in <u>CUSTOMER_PERMISSIONS</u> table
<i>num_dbs</i>	int	
<i>dbs</i>	db_t array	
<i>num_selected_dbs</i>	int	
<i>selected_dbs</i>	int *	
<i>rep_hdr</i>	TOBJ *	
<i>nrep_args</i>	int *	
<i>rep_args</i>	TOBJ **	

Note:

- This is a standard signature for all fcd APIs. Only *req_args* parameter is useful for this project. Rest of the parameters can be ignored.
- Last 3 parameters acts as output parameters.

Output Parameters

See Note above.

Logic (refer code 13.2.1)

This function executes following steps in the same order:

- clears TOBJ error stack.
- retrieves 'userid' and 'modify_datetime' from *req_args* parameter (it's a value-pair array)
- calls *tobj_to_i_perms_2831(12.1)* routine to convert 'systems-perms-info' TOBJ-structure(5.1) into IM_PERMISSIONS_2831 C-structure (5.3).
- call *cisPutPermissions* (8.1)
- convert the reply in TOBJ format, if *cisPutPermissions* is successful.
- prepare reply header, based on return value from *cisPutPermissions*.

7.2 fcdGetPermissions

Function

This function gives a wrapper API for low-level database routine to retrieve data from CUSTOMER_PERMISSIONS table for a given userid.

When FCC layer sends a request to TOBJ library for GetPermissions function, this routine is called from FCD layer, i.e. 'cis' process (libxaction.sl.s700.10).

Input Parameters

Parameter	DataType	Remarks
<i>req_hdr</i>	TOBJ	
<i>nreq_args</i>	int	
<i>req_args</i>	TOBJ table	This array contains data needed to retrieve data from <u>CUSTOMER_PERMISSIONS</u> table, i.e. userid.

<i>num_dbs</i>	int	
<i>dbs</i>	db_t array	
<i>num_selected_dbs</i>	int	
<i>selected_dbs</i>	int *	
<i>rep_hdr</i>	TOBJ *	
<i>nrep_args</i>	int *	
<i>rep_args</i>	TOBJ **	

Note:

- This is a standard signature for all fcd APIs. Only req_args parameter is useful for this project. Rest of the parameters can be ignored.
- Last 3 parameters acts as output parameters.

Output Parameters

See Input Parameters' note.

Logic (refer code 13.2.2)

This function executes following steps in the same order:

- clears TOBJ error stack.
- retrieves 'userid' from req_args parameter (it's a value-pair array)
- calls cisGetPermissions (8.2)
- if cisGetPermissions is successful, converts the returned permission structure from C to TOBJ format, using e_perms_2829_to_tobj function (12.2).
- prepares reply header, based on return value from cisGetPermissions.

8 CISapi

8.1 cisPutPermissions

Function

This function is called from fcdPutPermissions function.

Input Parameters

Parameter	DataType	Remarks
<i>cidb</i>	char *	
<i>userid</i>	char *	
<i>im_perms_2831</i>	struct * IM_PERMISSIONS_2831 (5.3)	This array contains data needed to update record in <u>CUSTOMER PERMISSIONS</u> table.
<i>ex_cust_perm_2831</i>	struct * EX_CUSTOMER_PERMISSION_2831	

Note:

- Here, ex_cust_perm_2831 acts as an output parameter.

Output Parameters

See Input Parameters' note.

Logic (refer code 13.3.1)

This function executes following steps in the same order:

- clears TOBJ error stack.
- calls update_customer_permissions function (9.1) from libcixcapi.sl library. (libcixcapi.sl is a library generated by OSCAR generated code. No, manual modification is required to generate this library.)
- returns result of update_customer_permissions function call to fcdPutPermissions function, with appropriate error message incase of error.

8.2 cisGetPermissions

Function

This function is called from fcdGetPermissions function.

Input Parameters

Parameter	DataType	Remarks
<i>cidb</i>	char *	
<i>userid</i>	char *	
<i>count</i>	int *	
<i>ex_perms_2829</i>	struct * EX_PERMISSIONS_2829	refer 5.2

Note:

- Here, ex_perms_2829 acts as an output parameter.

Output Parameters

See Input Parameters' note.

Logic (refer code 13.3.2)

This function executes following steps in the same order:

- clears TOBJ error stack.
- calls read_customer_permissions function (9.2) from libcixcapi.sl library. (libcixcapi.sl is a library generated by OSCAR generated code. No, manual modification is required to generate this library.)
- if update_customer_permissions function fails, it returns error code to fcdPutPermissions function, with appropriate error message.
- it calls BuildCustPermRtnList function to make a return buffer of permissions from a linked list of permissions.
- returns appropriate value back to the calling routine, i.e. fcdGetPermissions.

9 CIDB

9.1 update_customer_permissions_p

Function

This function is invoked when a request comes to 'cixsmainp' process, from 'cis' process to update records in the CUSTOMER_PERMISSIONS table.

Input Parameters

Parameter	DataType	Remarks
<i>im_permissions_2831</i>	struct * IM_PERMISSIONS_2831	
<i>ex_customer_permis</i>	struct *	

on_2831	EX_CUSTOMER_PERMI SSION 2831	
---------	---------------------------------	--

Note:

- Here, ex_customer_permission_2831 acts as an output parameter.

Output Parameters

See Input Parameters' note.

Logic (refer code 13.4.1)

This function executes following steps in the same order:

- gets GMT time
- initializes environment for rollback, incase of error.
- retrieve userid from input parameter, im_permissions_2831.
- check if record exist in CUSTOMER table for customer with 'userid' value.
- if record doesn't exist for userid in CUSTOMER table,
 - return error.
- else
 - check no. of records for userid in CUSTOMER_PERMISSIO table
 - if record(s) exist in CUSTOMER_PERMISSIO table
 - if modify_datetime stamp from input parameter is not an empty string of 20 characters
 - retrieve modify_datetime for userid from CUSTOMER_PERMISSIO table
 - if input.modify_datetime < database.modify_datetime,
 - return error no 4001
 - delete all records for userid from CUSTOMER_PERMISSIO table
 - create record(s) in CUSTOMER_PERMISSIO table using values from input parameter, im_permissions_2831
 - set output parameter, ex_customer_permission_2831, to GMT time.
 - return

9.2 read_customer_permissions_p

Function

This function is invoked when a request comes to cixsmainp process, from cis process to retrieve records from the CUSTOMER_PERMISSIO table.

Input Parameters

Parameter	Data Type	Remarks
im_customer_permission_2829	struct * IM_CUSTOMER_PERMISSION 2829	
ex_permissions_2829	struct * EX_PERMISSIONS 2829	

Note:

- Here, ex_permissions_2829 acts as an output parameter.

Output Parameters

See Input Parameters' note.

Logic (refer code 13.4.2)

This function executes following steps in the same order:

- initializes environment for rollback, incase of error.

- retrieve userid from input parameter, im_permissions_2831.
- check if record exist in CUSTOMER table for customer with 'userid' value.
- if record doesn't exist for userid in CUSTOMER table,
 - return error.
- else
 - retrieve records from CUSTOMER_PERMISSIO table for a given userid.
 - if no records found,
 - return error.
 - else
 - create a linked list of EX_PERMISSIONS_2829 type from the records returned from CUSTOMER_PERMISSIO table.
- return

10 Source Files

List of files modified/created:

10.1 FCC

File name	Remark	New/Modify
Xaction.pl		Modify
OpcodetoSocket.pl		Modify
cisTestBody.pl		Modify
CRUDcustPerm.pl		New

10.2 FCD

File name	Remark	New/Modify
CRUDcustPerm.c		New
Makefile.xaction.s700.10		Modify
services.dat		Modify
services2.dat		Modify

10.3 CISapi

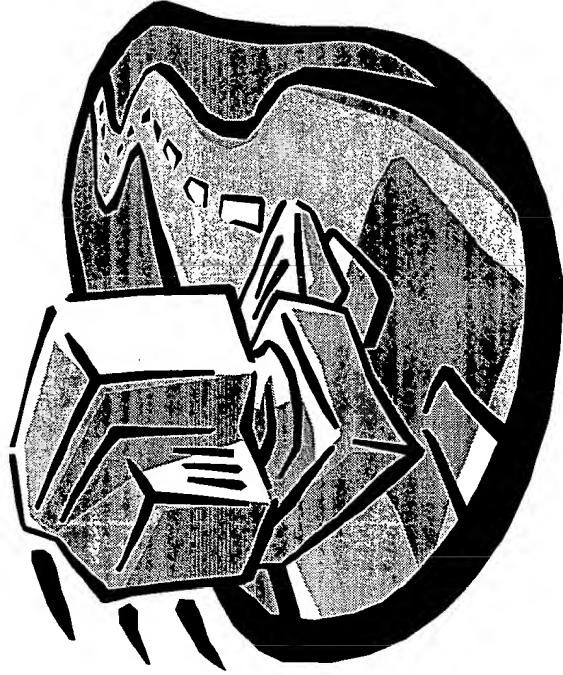
File name	Remark	New/Modify
CRUDcustPerm.c		New
Makefile.api.s700.10		Modify

10.4 CIDB

File name	Remark	New/Modify
cixsprad.c		Modify
dbapi_aux.ec		Modify
dbapi.ec		Modify

11 DataFlow

ITRC 2.0 Release MR Meeting



Purpose and Process for MR Meeting



- Purpose of Meeting
 - to obtain agreement from Program Office and Core Release Team members to deploy the ITRC 2.0 version.
- Process - review the following:
 - Release Scope (from Release Commit)
 - Planned vs. Actual
 - Performance/Stress Testing Results
 - Training Conducted
 - System Test Data
 - Defect Tracking
 - Deployment Planning
 - Recommendations to Deploy
 - Open Issues for Post Deployment



invent

HP Confidential

Release Scope (from Release Commit Presentation)



- Improve Performance and Availability through “refresh” of existing infrastructure.
- Improve ability to obtain accurate information more quickly through improvements to search capability and navigation as well as through the development of on -line collaboration tool.
- Release of e-commerce capability in Europe (with changes for US/Canada).
- Deployment of HWCN in Luxembourg and Belgium as well as localization of this feature.
- Removal of Y2K tool references.

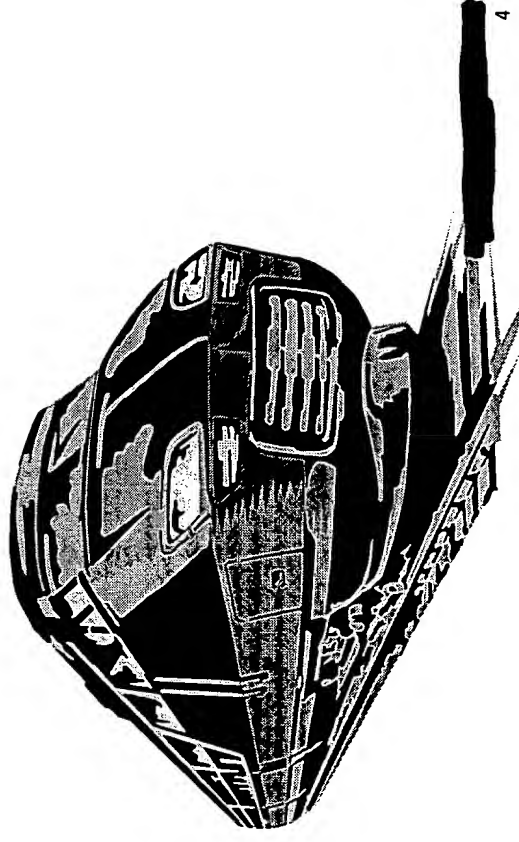
Planned vs. Actual

Planned Features

- Planner Navigation
- Search Assistance
- HUB Localization
- HWCN Localization
- Self Solve Enhancements
- Collaboration Pilot
- Y2K Product Removal
- HWCN Deployment Bel/Lux
- SWCM Fastcgi
- E-commerce Maintainer
- E-commerce Trainer
- HUB Productivity Tool
- Optimize CIDB
- WW CIDB
- System Handle Refresh
- EIA - Post Wave 1
- Shared Services - SSA
- Planner EGOR
- Rapid Login

Actual (All Aboard!)

- Planner Navigation
- Self Solve Enhancements
- Y2K Product Removal
- HWCN Deployment Bel/Lux
- SWCM Fastcgi
- E-commerce Maintainer
- E-commerce Trainer
- Optimize CIDB
- System Handle Refresh
- Planner EGOR
- Rapid Login



invent

HP Confidential



Resource Center

Planned vs. Actual (continued)



Change Requests

- CR #62: Remove HP Optimize
- CR #64: Changes to optimize Email
- CR #65: Remove "Call me" from HWC
- CR #68: RCE Recognition
- CR #69: Granular Suppression
- CR #70: SUM - Fast CGI
- CR #71: Results List by 10 - CKI
- CR #71: Results List by 10 - EMSE
- CR #72: Custom Patch Manager
- CR #74: "Spider robots"

Enhancements

- User Class Topic
- A-box Filter
- Enhanced Search Panel
- TDK Feedback Mechanism
- Metrics Collection
- Localization - E-Commerce
- Localization - SUM
- Localization - SWCM
- Localization - Patch Database
- Localization - "Usage" page
- H2SC



HP Confidential

i n v e n t

Performance (stress testing)



Rapid Login - Pass

- Significantly decreases response times of users with 2 or more system handles
- Current implementation strategy to set Pivot Point to 1 to optimize performance for all users at all system handle levels

CKI - Pass

- Search response times have noticeably reduced based on a decrease in time spent at the repository level

EMSE - Pass

- Response times have not increased. They have decreased slightly due to CKI performance enhancements

E-Commerce - Not Complete

- Lack of an accurate system test environment has limited testing due to firewall constraints
- Testing against Pdapi engine indicates that 10 simultaneous users does not introduce performance issues

SUM - Pass

- Results indicate that fast-cgi has no apparent impact on SUM response times at all user levels



HP Confidential

invent

Training Conducted



- HUB (MyITRC Project)
- Remove HP Optimize from ITRC (#62)
- Optimize Email Routing in Assistant (#64)
- Pronto
- E-Commerce (Maintainer)
- System Handle Refresh
- Optimized CIDB
- Rapid Login
- WW CIDB
- Y2K Removal
- CPM Changes to ITRC 2.0
- EGOR



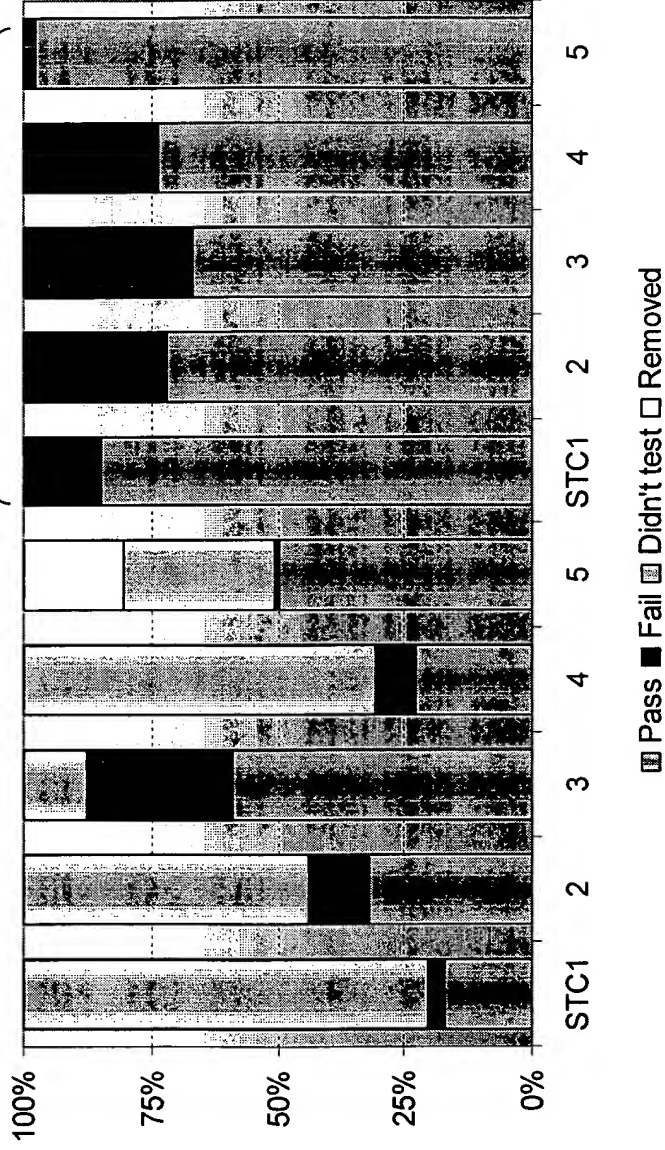
HP Confidential

System Test Data



ITRC 2.0 System Test - Summary - [REDACTED]

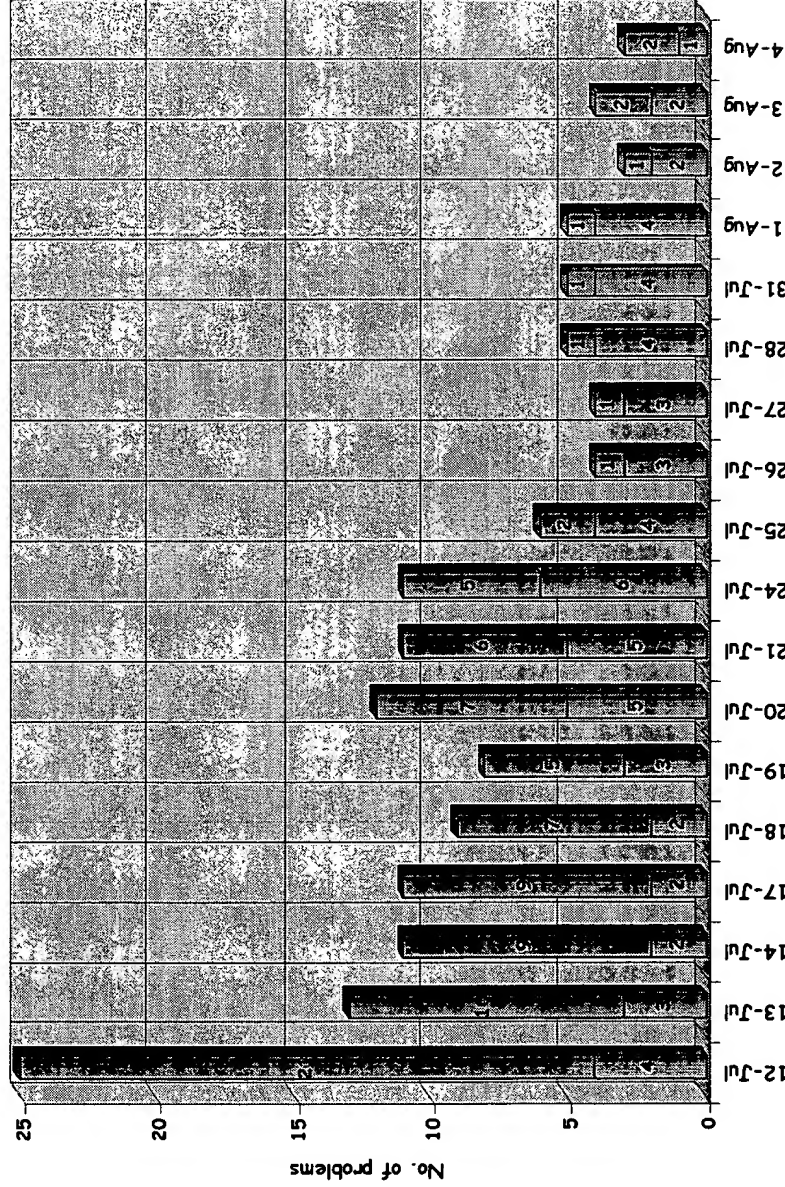
Pass / Fail only



Defect Tracking *



Open Problems by Date



*Number of system test defects logged in TBUG and Problem Reporting Log.
This number does not include CHART or other non-ASL Product Support tracking systems.



HP Confidential

Deployment Planning



Status of Deployment Plan: *Plan Complete*

Open issues from Dry Run:

GBIT-E

"CPM needs to be fully tested."

GBIT-AM

"Would be more confident in the future if the dry run environment was taken back to match current production environment rather than installing the same version over the same version."



HP Confidential

invent

10

— GBITs MR Recommendation:



"Worldwide, the recommendation is to proceed with the ITRC 2.0 release."



HP Confidential

i n v e n t



Product Support MR Recommendation:



GO !



HP Confidential

Test Management Team MR Recommendation:



GO !



HP Confidential

Program Office MR Recommendation:



GO !



HP Confidential

Open Issues for Post Deployment



- TBug 0360 - incorrect URL in an error message
- SWPI 24 - Problem with Permissions in E-commerce
- Dry Run
 - userid and username are not displayed from browser to browser for Forums and Planner
 - In leftbar, cannot select Planner then go to Trainer.
- Setting mail alias for CIDBCONN
- TBug ? - Missing "Refund" button on localized E-commerce pages.



HP Confidential

i n v e n t



Test Plan for RapidLogin (CIS stack) E.2.0

CONTENTS

1. Revision History
2. References
3. Rapid Login - Introduction to the Application
4. Test Plan
5. Disaster Recovery Plan (Backout plan) for CIDB changes related to RapidLogin
6. What should be done if a problem occurs?

REVISION HISTORY

Date	Revision Number	Changes	Author
[REDACTED]	1.0	Original Version. Created template for the Test Plan	Gautam Shah

References

- a) CIS FCC/FCD tutorial

Rapid Login - Introduction to the Application

To reduce worst case login time by eliminating the need to completely rebuild permissions during each login.

Today, permissions are dynamically built for each entity that a user has linked. The greater the number of entities (system handles, warranties, and e-orders) the longer the permissions build takes. In addition, the greater the number of potential permissions (as defined in permission rules), the longer it takes to build permissions for each system handle, warranty, or e-order. Permission build time increases linearly based on the number of entities. Similarly, Permission build time changes linearly as the number of Permission Rules changes.

$$\text{build_time} = \text{some_constant} * \text{number_of_permission_rules} * \text{number_of_entities}$$

To eliminate the need to build permissions at each login for a user, permissions for that user can be stored in CIDB. The complication with this solution is maintaining the stored permissions in an accurate state, which means that the ITRC must know when stored permissions are outdated and should then rebuild them. At these times the user will need to wait as the permissions are adjusted. The access time from CIDB is expected to be a constant.

Affected Areas:

The service layer needs to know when to rebuild or adjust a user's permissions. This occurs when the status of a user's collection of entities changes. Status can change when the following happens:

- A user claims a new entity. When this happens the service layer should adjust permissions for that user by adding permissions for the new entity
- Re-assign:
A user re-assigns an entity to another user. When this happens the service layer should adjust permissions for the entity for that user by removing the entity's permissions.
A user is re-assigned an entity from another user. When this happens the service layer will need to know to adjust permissions for the entity for the new owner the next time he or she logs in.
- Entity sharing with other users. When sharing changes the service layer needs to know to adjust the permissions for the new secondary user of the entity the next time he/she logs in.
- A change in permission rules means that permissions for all users should be rebuilt. The service layer will need visibility to the fact that a particular ITRC release includes a permission rule change so that permissions are updated the first time users login after the release.
- A permission is expended When an e-order item is expended, permissions are adjusted and put to CIDB.
- An entity changes state A background process notices that an entity changes and sets the dirty flag. Entities can expire, unexpire or have other attributes change. See Concerns regarding entity state.
- User Registration If user permissions are stored, putpermissions is called. In the current design, this does nothing.
- Modify Registration If the user modifies his or her registration, all of the entities must be rebuilt.

Special permissions are associated with a user. It is expected that user permissions object will essentially remain as is.

Benefits

Reduced login time except immediately following an ITRC release that includes a permission rule change.

Test Plan


This test plan explains different test cases for RapidLogin. It also describes the interface specifications between CIS FCD/FCC layer and service layer.

The scope of the test cases, described here, is limited to testing CIS stack using testbench only. The events generated through various operations at service layer, are out of the scope of this test plan.

There are 4 basic operations supported for CUSTOMER_PERMISSIO table, used by RapidLogin.

- Create
- Read (GetPermissions)
- Delete
- Update (PutPermissions).

The test cases for each of these 4 operations and their variations are described, separately, in following

 [Rapid Login Banner]

Information Technology Resource Center

Performance Improvement for ITRC Login

Persistent Storage of Permissions

For Internal Use Only

Version 1.2


Authors:

Robert Hull (Contractor)
Gautam Shah

Overview

Purpose:

To reduce worst case login time by eliminating the need to completely rebuild permissions during each login.

Today, permissions are dynamically built for each entity that a user has linked. The greater the number of entities (system handles, warranties, and e-orders) the longer the permissions build takes. In addition, the greater the number of potential permissions (as defined in permission rules), the longer it takes to build permissions for each system handle, warranty, or e-order. Permission build time increases linearly based

on the number of entities. Similarly, Permission build time changes linearly as the number of Permission Rules changes.

$$\text{build_time} = \text{some_constant} * \text{number_of_permission_rules} * \text{number_of_entites}$$

To eliminate the need to build permissions at each login for a user, permissions for that user can be stored in CIDB. The complication with this solution is maintaining the stored permissions in an accurate state, which means that the ITRC must know when stored permissions are outdated and should then rebuild them. At these times the user will need to wait as the permissions are adjusted. The access time from CIDB is expected to be a constant.

Affected Areas:

The service layer needs to know when to rebuild or adjust a user's permissions. This occurs when the status of a user's collection of entities changes. Status can change when the following happens:

- o *A user claims a new entity.* When this happens the service layer should adjust permissions for that user by adding permissions for the new entity.
- o *Re-assign:*
 - *A user re-assigns an entity to another user.* When this happens the service layer should adjust permissions for the entity for that user by removing the entity's permissions.
 - *A user is re-assigned an entity from another user.* When this happens the service layer will need to know to adjust permissions for the entity for the new owner the next time he or she logs in.
- o *Entity sharing with other users.* When sharing changes the service layer needs to know to adjust the permissions for the new secondary user of the entity the next time he/she logs in.
- o *A change in permission rules* means that permissions for all users should be rebuilt. The service layer will need visibility to the fact that a particular ITRC release includes a permission rule change so that permissions are updated the first time users login after the release.
- o *A permission is expended* When an e-order item is expended, permissions are adjusted and put to CIDB.
- o *An entity changes state* A background process notices that an entity changes and sets the dirty flag. Entities can expire, unexpire or have other attributes change. See Concerns regarding entity state.
- o *User Registration* If user permissions are stored, putpermissions is called. In the current design, this does nothing.
- o *Modify Registration* If the user modifies his or her registration, all of the entities must be rebuilt.

Special permissions are associated with a user. It is expected that user permissions object will essentially remain as is.

Benefits

Reduced login time except immediately following an ITRC release that includes a permission rule change.

Proposed Changes to ITRC

FCC:

- o **Manage Permissions stored in CIDB via CISFCC**

Modify the Services layer (FCC) to Get and Put Permissions via the CISFCD. Following is a list of areas known to require modification for the CISFCD permission calls.

- Get
 - Login (SWOP, WWW, Mail, Batch) - GetPermissions. This is done during

BuildPermissions. Do not Get if less than ten entities. See assumptions.

- Put Always check modify-datetime stamp. If expired, ask user to logoff. The datetime stamp is stored as part of the permissions structure.

All the following cases occur during either BuildPermissions or AdjustPermissions.

- Login (SWOP, WWW, Mail, Batch), for dirty entities AdjustPermissions then call PutPermissions.
- Register User, does a buildPermissions. The unlikely chance of a race condition occurring is ignored.
- Expend E-Order items and Claim Entity (System Handle, Warranty and E-Order) implicitly call AdjustPermissions. Add call to PutPermissions.
- Entity Reassign- When an entity is reassigned, implicitly call AdjustPermissions. This implicitly calls PutPermissions.
- Modify User Information-Does a build permissions and a PutPermissions.
- Background detection of Dirty bit. Calls GetPermissions then does an AdjustPermissions followed by PutPermissions.

Multiple Instances

A check for a race condition is necessary. If multiple instances of the same user add different entities. The copies of the permissions in session would be different. This could be handled by saving the modify date/timestamp and checking at put. If this fails, a forceful write occurs to the dirty buffer marking the entity needs to be replaced in permissions. The user is then navigated to the login screen. If the failure occurs during login, the GetUserProfile and BuildPermissions is attempted three times.

A similar problem occurs regarding user info. If the user info modify datetime stamp is stale, the user is navigated to the login screen. The user's dirty buffer is marked setall. Admittedly user hostile, but the safest and expedient solution to an unlikely problem.

Behavior of modify_datetime Stamp

The modify_datetime stamp allows the FCC to determine if a race condition has occurred regarding the "put" of permissions. Permissions in session are instantiated by: building permissions, "get"ting of permissions and adjust of permissions. `cis'PutPermissions` is called after an adjust of permissions. It is TBD what should be done if `_adjustPermissions` fails. If the adjust was done on a permission set from a `cis'GetPermissions`, then the modify_datetime stamp should match. If not the user is logged out by FCC. However, if the permissions are from a complete `BuildPermissions`, then the modify_datetime stamp is marked with twenty blanks meaning a new build. The check of timestamp is ignored.

timestamp actions

- GetPermissions - return datetimestamp of retrieved permissions.
- PutPermissions - return datetimestamp of newly stored permissions.
- PutPermissions - pass datetimestamp of recently adjusted permissions. This stamp is either the datetime stamp of the permissions that current instance is built on OR a special flag indicating completely rebuilt.
- PutPermissions - returns an error if the timestamp doesn't match the copy in CIDB and the stamp is not the special flag. If this occurs the FCC logs out the user. The FCD returns `MCI_ERR_NUM_OLD_DATA, 4001`, for this error.

o Call Set and Clear Dirty Permissions Buffer

A preference buffer indicates when permissions need to be rebuilt. Flags can be set on a per entity basis or for all entities associated with a UserID. The per entity flag indicates whether to rebuild or remove permissions associated with the entity.

Following is a list of Services layer areas to be modified to set, setall, and clear the dirty permissions flags.

- Set

- Entity Reassign - Set the Entity's dirty flag for the target UserID
- Entity Share (Add, Adjust Remove) - Set the Entity's dirty flag for the secondary UserID
- Entity Expire - Set the Entity's dirty flag when an entity expires
- Entity Delete - When an entity is deleted a background process, set the dirty bit for the former entity owner
- Deletion of a User that owns an entity shared with secondary users
- Setall entities for a Userid
 - Permission Rule changes - When permissionRules change, the install script must set the setall dirty flag for every user.
 - Modify User - set the dirty buffer for user to Setall. Otherwise, build permissions does a get only.
- Get and Clear all dirty flags associated with a user.
 - Login, After PutPermissions is completed dirty flag is cleared.
 - After, background process automatically updates permissions, clear dirty Buffer.

○ **Manage Dirty Buffer: Set, SetAll, GetClear Permissions Flags**

Dirty flags use a buffer stored and retrieved through a Customer Preference Buffers.

The buffer contents maybe empty, a string "ALL", or a string of entity mode pairs. The elements are joined by ':'. If the buffer is empty, the stored preferences are current. If "ALL", the preference mustbe completely rebuilt. If a string of entities, the entities identify the entities to be adjusted with the attached mode.

■ **SetDirty**

Input

- UserID
- EntityID
- Mode

Retrieve current buffer for UserID. If the buffer is "ALL" return. If the buffer is empty, set to EntityID and Mode. If the buffer exists, search for EntityID in list. If in list return if modes are equal, otherwise set mode to replace. If not in list append to list. Write current buffer for UserID.

■ **SetAllDirty**

Input

- UserID

Write preference buffer with contents "All" for UserID.

■ **GetAndClearDirty**

Input

- UserID

Output an array of

- EntityID
- Mode

Retrieve current buffer for UserID. Write preference buffer with contents undef for UserID. Return retrieved buffer.

○ **Session: Permissions**

No changes to Session Permissions functions are anticipated. Note, whenever SessionPermissions are updated, the CIDB copy of Permissions should be updated.

- o **CISFCC**

Changes to the CISFCC follow:

- Add calls to GetPermission and PutPermission similar to CISFCD calls.
- Enhance cisTestBench5.pl and cisTestBody.pl to call the new CISFCC calls for testing and administration.

- o **SuperUser Utility**

Add the ability to force a build permissions and store to CIDB on a given user from the SuperUser utility. This occurs regardless of the number of entities claimed by the user. This build would clear the dirty buffer. This is part of the modify user capability.

It maybe a future to program delete user.

It maybe a future to program unlink system handle.

It is a future to program modify system handle.

It is unclear how to know when to manually rebuild permissions. Current thought is when the user either complains or we notice a timeout error for login. See Assumptions or Concerns.

- o **Customer Information Lookup and SuperUser Utility**

Both Customer Information Lookup and SuperUser Utility would like a feature to view permissions stored to cidb. This could include viewing permissions stored in CIDB as well as viewing dirty buffers.

- o **Background Processes**

Following is a list of background or batch processes for this change.

- Background script to set dirty flag if entity changes. At midnight, launch a script to check each entity for expiration. If so, mark the associated users dirty buffer for the entity. If the entity is a system handle, check the modify-datetime stamp. If this is newer than the permissions modify-datetime stamp, set the dirty flag for the owning user. This assumes the "System Handle Refresh" changes for 1.5 set the modify-datetime stamp. If this is a warranty, check the modify-datetime stamp and the expiration datetime. If an e-order, check the modify-datetime stamp and the expiration datetime.
- Batch script to set dirty bits when PermissionsRules change. During install of a new release with new PermissionRules, mark all userids to rebuild all entity permissions.
- Background to Adjust and Put Permissions on users. This script could be postponed. However, it will be difficult to initialize users with a large number of entities without this. This script should find and adjust UserIDs with the most dirty entities first. If the modify datetime stamp is stale, restart on that user. It may be appropriate to have multiple adjust background processes. If so a pool of UserIDs to adjust should be maintained to prevent conflicts such as two scripts attempting to adjust the same user. This condition could occur if the process takes a long time to adjust all users. For example if the script starts each day and it takes more than one day to adjust all users.

FCD:

- o **Get and Put System Permissions**

Following FCD operations are proposed to handle new CIDB schema

- a) **GetPermissions**

This function reads derived permissions for a given customer from CIDB database.

Required parameters are:

TOBJ string UserId

Returns:

TOBJ permission structure (systems-perms-info)
modify_datetime timestamp for DB change

Errors:

If UserId doesn't exist or not specified, returns error
MCI_ERR_NUM_USER_NOT_FOUND (1002).

b) PutPermissions

This function updates derived permission in CIDB for a given customer. If the modify_datetime is wrong, the write is aborted and an error raised. If the modify_datetime is marked build twenty blanks, then do not check. See modify_datetime for more details.

Required parameters are:

TOBJ string UserId
systems-perms-info Tobj Permission structure
modify_datetime timestamp from previous getPermissions

Returns:

modify_datetime timestamp for DB update

Errors:

If UserId doesn't exist or not specified, returns error
MCI_ERR_NUM_USER_NOT_FOUND (1002).
 If modify_datetime differs, return an error, MCI_ERR_NUM_OLD_DATA (4001). Do not write passed in permissions to CIDB.

CIDB:

At present, permissions are calculated (build) at login time using data from user's profile and preference information. For some users this exceeds the five minute login timeout. The permissions includes free permissions, derived permissions and special permissions.

- Free permissions are read from PermissionRules.pl file at login time.
- Derived permissions are calculated online from user profile information.
- Special permissions are read from customer preference buffer.

Free permissions and special permissions will be calculated and stored using existing methods. However, derived permissions will be stored in CIDB. The proposed change is to modify/extend CIDB schema by adding a new table Customer Permission, to store customer 'permissions' for faster access. The sequence number is used to create a row. However, retrieval does not use the sequence number. Retrieval is all rows for a UserId. The proposed format for this table is as below:

Customer Permission

Attribute	Type	Constraint
UserId	Char(30)	NOT NULL
EntityId	Char(??)	NOT NULL
Sequence Number	Int	
Permissions	Char(??)	NOT NULL

The 'Permissions' buffer lists all the permissions for a given unique pair of UserId and EntityId. More than one permissions are separated by ':'. (See Assumptions or Concerns for more information).

Following is an example of how actual data will be stored in this table.

Userld	Entityld	SeqNum	Permissions
CA1	W&C-CLS	0	ENT_GEOG:ECOMMERCE_AVAILABLE
CA1	CA1_64	0	SW_DEL:SW_LIST
CA1	CA1_72	0	HWCM_SUMRY:HWCM_DETLS:HWCM_SUBMIT:
CA1	CA1_72	1	HWCM_SPCL_USR
CA2	CA1_83	0	SW_SUBMIT:SW_PS_LTD:SW_APP_LTD:SW_SUBMIT_P:SW_GET_P

Performance expectations

The performance for users with few entities (maybe ten), should slow marginally. The performance for users with over fifty entities should improve. Below are the order of magnitude calculations for some operations.

Login Time

Number of Entities	As Is	Best	Worst	Likely
Formula	$C1 + C2 * N$	$C1 + C3 + C4 * N + C5$	$C1 + C2 * N + C3 + C4 * N + C5$	$C1 + C2 * .1 * N + C3 + C4 * N + C5$
0	0.5	2.5	2.5	2.5
10	5.5	3	8	3.5
25	13	3.75	14.25	4.75
200	100.5	7.5	107.5	36.5

Note: The current release has an extraneous call to getSpecialPermissions for each entity. This should be obsolete. However, until then the current "AsIs" takes an extra call to the FCD and CIDB. This adds an extra .5 seconds per entity with these assumptions.

C1 = .5 seconds - time to build User Permissions and overhead

C2 = .5 seconds - time to build Permissions for an Entity

C3 = 1 second - time for an FCC FCD transaction (likely .5)

C4 = .05 seconds - time for CIDB access from FCD (likely slower)

C5 = 1 second - time to read and clear Dirty buffer

Assume 1/10 of N changed entities for likely login.

Other actions will take longer after the change.

Adjust Users' Permissions

As is takes $\Delta N * C2$ to complete. Add $C3 + C4 * N$ to store permissions.

Dirty Another Users' Permissions

Add C5 to read and write dirty buffer.

Rebuild Users' Permissions

As is takes $C1 + N * C2$ to complete. Add $C3 + C4 * N + C5$ to store permissions.

Assumptions or Concerns

- If a user's dirty flags are set while she or he is logged in, it is OK if the user continues with stale permissions.
- The setall dirty flag is better than getting all entities and setting each entity individually.
- When a user's actions cause an AdjustPermissions, it is appropriate to retrieve the dirty buffer and AdjustPermissions on the dirty entities.
- Special and User permissions will be built as is.
- Customer_Permission table
 - combination of UserId, EntityId and Sequence Number is a unique combination.
 - Colon (':') is a separator between permissions. Colon is not allowed as a valid character in EntityId.
- If Delete User is implemented, the impact on permissions will have to be coded.
- It is unclear how to detect all entity changes. Expiration can be detected. Unexpire is not as obvious.
- Rebuilding permissions for less than ten entities is quicker than retrieving the save permissions.
- The new System Handle refresh design assures us the entity modify_datetime stamp would be valid indicator of whether an entity should be rebuilt. The background check will check an entities modify_datetime against the permissions modify_datetime. If the entity is newer, the entity is marked dirty.
- It is unclear how to know when to manually rebuild permissions. Current thought is when the user either complains or we notice a timeout error for login.

Alternatives or Tradeoffs

- Dirty Flags could be implemented as a new feature for CIDB or by using the existing customer preference buffer schema. The current schema limits the buffer to 10,000 bytes. If an entity takes on average 30 bytes to be uniquely identified, we have room for a total of 333 dirty entities. I expect this to be more than adequate. If we exceed the buffer size, the program could mark the user as rebuild all preferences. The preference buffer schema should quicker to develop.
- The expiration of an entity can be managed by either a background script or by storing the expiration timestamp with permissions. The time to check all entity-user pairs could be large. It was felt to be more expedient to store the expire stamp with the permissions and check the timestamp at retrieval. This could be done similar to the time-bomb check for special permissions.
- The dirty flags were briefly designed with the entity buffers (eorder_buffer, sys_handle_buffer and warranty_buffer). However, it was recognized that this would be difficult for entity assignment or entity sharing.
- Race conditions could be dynamicly updated. However, it was recognized that this could be an infinite loop. Also, the fall back of logging out the user still had to be coded. It was noted that the race scenario is unlikely, however, it must be coded for. The logout was felt appropriate. If the race occurs with a background process, the background process restarts processing on the user. If that also fails, the process restarts on all users.
- The CIDB row size is about 1000 bytes. This is smaller than if an entity has all permissions concatenated. If the permission string exceeds the row size, the permission string will be stored in a second row. If the buffer is needed regularly, access time is doubled for get and put. An alternative was considered to store one permission per row. A concern with many cidb accesses for all rows was raised.
- The dirty flags are stored in a customer preference buffer. It was considered to store dirty flags in the entity preference buffer. However, this is problematic when the entity is deleted or shared.
- The storing of User level permissions is an incremental change for later.

Testing and Benchmarks

The goal of this change is to improve the performance during login. By testing the performance before and after we can confirm our conjecture that these changes will improve login performance. Similarly, changes elsewhere should be verified for any unexpected performance changes. Testing should check the time (elapsed and compute) for the following actions:

- o Login of a user with 100 handles.
- o Login of a user with a combination of 150 entities.
- o Login of a user with no entities.
- o Login of a user with one entity.
- o Login of a user with only shared entities.
- o Login of a user with an expired entity.
- o Login of a user with a deleted entity.


The following cases should be tested for correct behavior. It is not necessary to benchmark performance.

- o Login of a user with 30 e-order entities.
- o Expend an e-order.
- o Purchase an e-order.
- o Claim a system handle.
- o Claim a warranty.
- o Reassign an entity.
- o Share an entity.
- o Change the sharing of an entity.
- o Delete the sharing of an entity.
- o Login of a user after a PermissionRule change. (Try with several entities)
- o Change the special permissions of a user.
- o Change the free permissions.

Task Estimate

- o Benchmark existing - 1/2 week
- o Code - 37 person days or 3 1/2 weeks with 2 programmers.
 - Add Get and Put Permissions within FCC.
 - Add GetPermission call 1/2 day
 - Add PutPermission call 2 1/2 day
 - Add Set and Clear Dirty Permissions within FCC.
 - Add call setDirty 1 day
 - Add call getAndClearDirty 1 day
 - Add Dirty Permissions management within FCC.
 - Add setDirty 1 day
 - Add setAllDirty 1 day
 - Add getAndClearDirty 1 day
 - Modify CISFCC (Get and Put Permissions).
 - fccGetPermission 1/2 day
 - fccPutPermission 1/2 day
 - cisTestBench 1 day
 - SuperUser Utility
 - add set user permissions 3 days
 - Install script.
 - modify install script 2 days
 - Entity change background script.
 - entity change script 5 days
 - Update User background program. (postpone?)
 - Update user script 5 days

- Modify CISFCD (Get and Put Permissions).
 - fcdGetPermission 1 day
 - fcdPutPermission 1 day
- Create, Read, Update and Delete CIDB rows.
 - fcdCreateCIDBPermission 1 day
 - fcdReadCIDBPermission 2 days
 - fcdUpdateCIDBPermission 2 days
 - fcdDeleteCIDBPermission 1 day
- Build Permissions Rows within FCD.
 - CIDB update 2 days
- Testing and final benchmark - 2 and 1/2 weeks
- Document FCC 3 days
- Document FCD 3 days
- Buffer - 1 week

 [Rapid Login Footer]

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.